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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/086,762	02/28/2002	Robert Groten	22750/434A	1346
26646	7590	04/06/2004	EXAMINER	
KENYON & KENYON ONE BROADWAY NEW YORK, NY 10004			DEL SOLE, JOSEPH S	
			ART UNIT	PAPER NUMBER
			1722	
DATE MAILED: 04/06/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/086,762

Applicant(s)

GROTEN ET AL.

Examiner

Joseph S. Del Sole

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 29 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-18 is/are pending in the application.
- 4a) Of the above claim(s) 18 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☒ Certified copies of the priority documents have been received in Application No. 09/515,866.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

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## DETAILED ACTION

### *Election/Restrictions*

1. Claim 18 is withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made **without** traverse in the response of 10/22/03.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

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consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 13 and 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Proulx (5,814,176).

Proulx teaches a die system (Fig 1, #28) having a polymer source (Fig 1, #20); a die in communication with the polymer source; a die plate (Fig 6) in fluid communication with the die, the die plate defining a first group of openings (Fig 8), the first group of openings having a first opening and a second opening, the first opening and the second opening configured to form at least two elementary polymer filaments (Fig 8); the first opening and the second opening further configured such that a bead of the polymer material exiting a die opening in the group contacts with at least one other bead of polymer material exiting another die opening in the group (Fig 6 shows the beads of each material contacting one another); the die plate defines a second group of openings, the second group having a third opening and a fourth opening, the third opening and the fourth opening configured to form at least two elementary polymer filaments including a third elementary fiber having a skin and a fourth elementary fiber having a skin (Fig 8); a die plate having a first opening and a second opening, the distance between the first opening and the second opening is equal to or greater than a quarter of the sum of the diameters of the first opening and the second opening and the distance between the first opening and the second opening is less than or equal to the sum of the diameters of the first opening and the second opening (col 3, line 62 - col 4, line 16).

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Proulx fails to explicitly teach the polymer source maintaining a polymer under predetermined rheological conditions and the die maintaining the polymer under predetermined rheological conditions.

Proulx does however teach continuous manufacture of polymer strands that remain identical in composition throughout the strand; such continuous production requires predetermined rheological conditions to remain unchanging.

It would have been obvious to one having ordinary skill in the art at the time of the Applicant's invention to have modified the invention of Proulx such that the polymer source and the die maintain the polymer under predetermined rheological conditions because maintaining a polymer under constant conditions is well known for the purpose of producing an unchanging product. Furthermore, the structure of the apparatus need not be changed to produce maintained rheological conditions, but rather maintaining such conditions is a process limitation.

Furthermore, the limitation "the first opening and the second opening further configured such that a bead of the polymer material exiting a die opening in the group contacts with at least one other bead of polymer material exiting another die opening in the group" fails to structurally limit the claimed apparatus because it merely recites the process of operating the apparatus in such a way that two beads contact one another. While, this is a process limitation, Proulx nevertheless teaches multiple openings configured such that beads contact one another. The claimed invention is not structurally differentiated by such a limitation. Still further, two beads can be made to contact one another (or not contact one another) by changing the process of operation

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without changing the structure of the apparatus. The similar limitation directed towards "third and fourth openings" similarly does not structurally limit the claimed apparatus. All structural limitations are taught.

6. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Proulx (5,814,176) in view of Schrenk (3,607,509).

Proulx teaches the apparatus as discussed above.

Proulx fails to teach a second polymer source in communication with the die.

Schrenk teaches an apparatus having a second polymer source (Fig 1, and col 2, line 68 - col 3, line 14) for the purpose of forming a composite product bonded filaments (Fig 9 and col 4, lines 30-41).

It would have been obvious to one having ordinary skill in the art at the time of the Applicant's invention to have modified the invention of Proulx with a second polymer source as taught by Schrenk because it enables a bonded filaments product to be formed of a plurality of polymers.

7. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Proulx (5,814,176) in view of Kamp (4,540,537).

Proulx teaches the apparatus as discussed above.

Proulx fails to teach a second polymer source in communication with the die.

Kamp teaches an apparatus having a second polymer source (Fig 4, #41) for the purpose of forming a composite product (Fig 4 and col 4, lines 1-4).

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It would have been obvious to one having ordinary skill in the art at the time of the Applicant's invention to have modified the invention of Proulx with a second polymer source as taught by Kamp because it enables a composite product to be formed.

***Response to Arguments***

8. Applicant's arguments filed 3/29/04 have been fully considered but they are not persuasive.

The objection to claim 15 has been overcome by amendment.

The Applicant argues that none of the cited references disclose or suggest a system configured to form two filaments wherein the openings are configured such that the beads exiting the openings contact one another.

The Examiner disagrees. Proulx teaches two openings, with two beads exiting the openings and the beads contacting one another (Figure 6). Furthermore, such a limitation is a process limitation in so much as a given apparatus with two openings can be operated either such that the beads do or do not contact one another upon exiting. The Applicant must address the structural differences between the claimed invention and the prior art. What structurally enables the Applicant's invention to have beads contact one another that the prior art lacks?

The Applicant further argues that these process limitations must be accorded weight because claim 13 is a system claim configured to produce a process.

The Examiner disagrees. The non-structural limitations are only accorded weight in so much as they limit the structure of the apparatus. What structure of the Applicant's invention is intended by these limitations that is not taught by the prior art? There is a

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statutory bar against claiming a process and an apparatus in the same claim (MPEP 2173.05(p)II), and while this bar is not violated this is pointed out to reinforce that only structural differences between the claimed invention and the prior art have weight. The Applicant has not shown there to be structural differences between the claimed invention and the prior art.

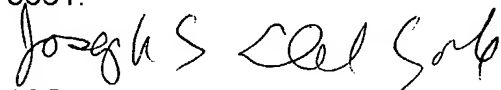
Because the Examiner has more fully addressed the limitations of the unamended claims 13-17, this Office action has been made Non-Final.

### ***Correspondence***

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Joseph S. Del Sole whose telephone number is (571) 272-1130. The examiner can normally be reached on Monday through Friday from 8:30 A.M. to 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ms. Wanda Walker, can be reached at (571) 272-1151. The official fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306 for both non-after finals and for after finals.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0661.



J.S.D.  
April 2, 2004